

02/07/02
JCS79 U.S. PTO

HAYES SOLOWAY PC
130 W. Cushing Street
Tucson, AZ
85701 U.S.A.
TEL 520-882-7623
FAX 520-882-7643

Docket No.: NEC GNE471
Date: February 7, 2002

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

JCS79 U.S. PTO
10/072194
02/07/02

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: OGAWA
For: TRACK-OFFSET COMPENSATION IN OPTICAL DISK DRIVE

Enclosed are the following:

- ☐ Letter: SUBMISSION OF INCOMPLETE APPLICATION
- ☒ Specification 39 pages; Claims 8 pages; Abstract 1 page
- ☒ Declaration and Power of Attorney
- ☒ Sheet(s) of drawings 11 pages
- ☒ An assignment of the invention to: NEC Corporation
- ☒ A certified copy of Japanese application No. 2001-030526,
filed February 7, 2001
- ☒ Information Disclosure Statement
- ☒ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of Japanese patent application
No. 2001-030526 filed February 7, 2001.


The filing fee has been calculated as shown below:

| | | SMALL ENTITY | LARGE ENTITY |
|-----------------------|-----------|--------------|-------------------|
| BASIC FEE: | | \$370.00 | \$740.00 |
| TOTAL CLAIMS: | 25 - 20 = | x 9 = | 5 x 18 = \$ 90.00 |
| INDEPENDENT CLAIMS: | 4 - 3 = | x 42 = | 1 x 84 = \$ 84.00 |
| MULT. DEPEND. CLAIMS: | | + 135 = | + 270 = |
| TOTAL: | | \$ | \$ 914.00 |

☒ Form PTO-2038 authorizing credit card payment in the amount of \$ 954.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 08-1391.


Norman P. Soloway
Attorney of Record
Reg. No. 24,315

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. ET956859214US Date of Deposit: February 7, 2002

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Signature of person mailing: 
Name of person mailing: Sharon McKniff

**Change Of Attorney Or Agent's Address In Application
(37 CFR 1.8(a))**

Docket No.
NEC GNE471

In Re Application Of:

OGAWA

Serial No.

Filing Date
February 7, 2002

Examiner

Group Art Unit

Invention:

TRACK-OFFSET COMPENSATION IN OPTICAL DISK DRIVE

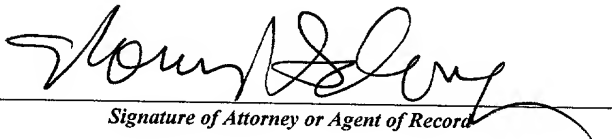
TO THE ASSISTANT COMMISSIONER FOR PATENTS

Please send all correspondence for this application to:

**Norman P. Soloway
HAYES SOLOWAY P.C.
130 W. Cushing Street
Tucson, Arizona 85701**

Please direct all telephone calls to:

(520) 882-7623


Signature of Attorney or Agent of Record

Dated: **February 7, 2002**

**Reg. No. 24,315
Norman P. Soloway
HAYES SOLOWAY P.C.
130 W. Cushing Street
Tucson, Arizona 85701**

Registration Number & Address of Attorney or Agent of Record

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Applicant(s):

Docket No.

NEC GNE471

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Group Art Unit

Invention:

TRACK-OFFSET COMPENSATION IN OPTICAL DISK DRIVE

I hereby certify that the following correspondence:

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February 7, 2002*(Date)*Sharon McKniff*(Typed or Printed Name of Person Mailing Correspondence)*
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Signature of Person Mailing Sharon McKniff

Name of Person Mailing Sharon McKniff

HAYES SOLOWAY P.C.
130 W. CUSHING STREET
TUCSON, ARIZONA 85701

TEL. 520.882.7623
FAX 520.882.7643